



All advertising and sales literature, including seminars, invitations, brochures, websites and other materials **MUST BE REVIEWED BY KCD** before they can be used. This includes business cards and letterhead.

If you have obtained prior FINRA review of any items, please include the FINRA review letter with the submission.

Approval by a previous broker/dealer does not guarantee KCD will approve the same items.

Filing with FINRA Advertising (including their minimum \$100.00 fee) may be required for materials containing information on security products.