



TO BE COMPLETED EACH TIME A NEW INVESTMENT GOES INTO A QUALIFIED ACCOUNT.

CUSTOMER NAME / ACCOUNT REGISTRATION (*print clearly*): _____

Investment Amount \$ _____ Total Retirement Assets \$ _____

Investment Profile:

I have completed the retirement investment worksheet? ____ Yes ____ No

I have completed an asset allocation/risk tolerance questionnaire? ____ Yes ____ No

Investment Allocation:

Fund/Investment	% of Allocation	Share Class	Fee Charged by Company	Fee or Commission % Paid

My representative and I have agreed to meet, at a minimum of, every _____, to review the above allocation and my financial situation.

Additional Information:

The following are other investment options that we have discussed and why we believe the above allocation is suitable for me given my current situation:

(Client Initials)

Signatures and Acknowledgements

This Investment was: Recommended by my rep Not Recommended by my rep

_____ ***By initialing here, I certify that I received, read, and agree to the terms of the Prospectus or Offering Document for the product(s) I am investing.***

- Additional Documentation: By signing below, I understand that due to the nature of this investment additional documentation may be required before my investment takes effect.
- If I have previously agreed to a predispute arbitration clause with KCD Financial, Inc., my signature reflects my acknowledgement that this application and the accounts and transaction contemplated are subject to such arbitration clause.
- By my signature below, I confirm that the information provided in this document is complete and accurate to the best of my knowledge as of the date indicated below.
- I feel this is a suitable investment for my particular situation.
- I understand the nature of this investment and still wish to invest.

Owner's Signature	Date	Print Name
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I have appropriately acted on behalf of my client by reviewing all points in the above disclosure and supporting information provided in the prospectus or offering document. I believe the information provided is complete and accurate to the best of my knowledge and that this transaction is suitable for the client.

Registered Rep's Signature	Date	Print Name	Rep #
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By signing below the Principal acknowledges that he/she has reviewed the investment for suitability.

Principal's Signature	Date
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