

## STAFF DIRECTORY

**Joel Blumenschein**

[joelb@kcdfinancial.com](mailto:joelb@kcdfinancial.com)

President

CEO

FINRA and Regulatory Matters  
Financial Oversight and Reporting  
New Business Initiatives  
Broker Relations  
Office Audits

**David Wilson**

[dave@kcdfinancial.com](mailto:dave@kcdfinancial.com)

CCO

Compliance Oversight  
Inquiries / Complaints – Escalated Problem Resolution  
Communications with the Public (Advertising)  
New Products – Due Diligence and Selling Agreements  
Office Audits  
Corporate Business

**Robert Rivera**

[rob@kcdfinancial.com](mailto:rob@kcdfinancial.com)

Assistant CCO

Clearing House Trading  
Clearing House Customer Service Issues  
Compliance  
Office Audits

**Barry Stein**

[bstein@kcdfinancial.com](mailto:bstein@kcdfinancial.com)

Compliance Officer

Clearing House Trading  
Compliance  
Office Audits

**Mark Berndt**

[mark@kcdfinancial.com](mailto:mark@kcdfinancial.com)

Licensing & Registrations

Coordinator/Recruiting

Securities Registration  
Agent Insurance Appointments  
Annual Renewals  
Recruiting

**Vicki Berger**

[vicki@kcdfinancial.com](mailto:vicki@kcdfinancial.com)

Office Coordinator

Commission Specialist

Commission Processing  
Direct and Brokerage Business Workflow  
Rep Business Processing

**Cindi Giesler**

[Cindi@kcdfinancial.com](mailto:Cindi@kcdfinancial.com)

Administrative Assistant

Rep Business Processing  
General Office Information

**Andrea Bushman**

[reception@kcdfinancial.com](mailto:reception@kcdfinancial.com)

General Office Information